SEP 2 6 2005

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### to

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

TO:	TO: Examiner SARA W. CRANE		DAVID J. KENEALY Cermak & Kenealy LLP	
FAX:	571.273.8300	REF. NO:	ST3001-0031	
VOICE:	571 272 1652	PAGES	(incl. this sheet) 16	
App. No.:	10/691,705	DATE:	September 26, 2005	

The undersigned hereby certifies that the following document(s) is (are) being transmitted by telefacsimile to the United States Patent and Trademark Office at the above "FAX" number, on the above DATE.

- 1) This facsimile Certification cover sheet (1 pg)
- 2) AMENDMENT IN RESPONSE TO MARCH 25, 2005 OFFICE ACTION (11 pgs.)
- 3) Amendment Transmittal with Petition for Three (3) Month Extension of Time (3 pgs)
- 4) PTO-2038 credit card authorization form for 3 month EOT petition fee (1 pg).

Adam J. Cermak

Registration Number 40,391

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 2 6 2005

In re application of:

Aki HIRAMOTO

Art Unit: 2811

Application No.: 10/691,705

Examiner: Sara W. CRANE

Filing Date: October 24, 2003

Attorney Ref. No.: ST3001-0031

For: OPTICAL SEMICONDUCTOR

**DEVICE** 

Via Fax: 571.273.8300

# AMENDMENT TRANSMITTAL FORM WITH PETITION FOR EXTENSION OF TIME

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Sir:

- 1. Transmitted herewith is an Amendment responding to the Office Action dated 25 March 2005, the period for reply to which being extended to 26 September 2005 by a petition for three-month extension of time filed herewith.
- 2. Additional papers enclosed:

[]	Drawings: [] Formal [] Informal (Correction)		
i i	Information Disclosure Statement		
įį	Form PTO-1449, reference included		
[]	Citations		
į	Declaration of Biological Deposit		
[ ]	Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or		
	amino acid sequence.		

09/27/2005 MBINAS

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U.S. Patent App. No.: 10/691,705 Attorney Docket No. ST3001-0031

#### 3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- [ ] Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.
- [X] Applicants petition for an extension of time, the fees for which are set out in 37 CFR § 1.17(a), for the total number of months checked below:

Total Months	Fee for	[Fee for Small	
Requested	Extension	Entity]	
[ ] one month	\$ 120.00	\$ 60.00	
[ ] two months	\$ 450.00	\$225.00	
[X] three months	\$1,020.00	\$510.00	
[ ] four months	\$1,590.00	\$795.00	

Extension of time fee due with this request: \$\frac{1020.00}{2000}\$. The Commissioner is hereby authorized to charge the \$1020.00 fee due under 37 C.F.R. \\$\frac{1}{2000}\$ 1.17(a) to Applicant's Representative's Charge Account indicated on the attached from PTO-2038.

If an additional extension of time is required, please consider this a Petition therefor.

[ ] An extension for \_\_months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

#### 4. Constructive Petition

[X] EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Applicant's Deposit Account listed on the original application transmittal, or to Applicant's charge account listed on the attached PTO-2038. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

U.S. Patent App. No.: 10/691,705 Attorney Docket No. ST3001-0031

### 5. Fee Calculation (37 C.F.R. §1.16)

CLAIMS AS AMENDED								
	Claims Remaining After	Highest No.	Present					
	Amendment	Previously Paid	Extra	at Rate of	Total Fees			
Total Claims 37 C.F.R. §1.16(c)	<u>20</u>	minus <u>20</u> =	<u>0</u>	x \$50 each =	+\$ 0.00			
Independent Claims 37 C.F.R.§1.16(b)	<u>2</u>	minus <u>3</u> =	<u>0</u>	x \$200 each =	+\$ 0.00			
[] First presentation of Multiple dependent claim(s) \$390.00								
SUB-TOTAL =								
Reduction by ½ for filing by a small entity								
TOTAL ADDITIONAL CLAIMS FEE =								

# 6. Fee Payment

- [ ] No fee is to be paid at this time.
- [X] The Commissioner is hereby authorized to charge \$1,020 which represents the extension of time fee (\$1,020) to Applicant's Representatives Charge Account included on the attached Form PTO-2038.

Respectfully submitted, Cermak & Kenealy LLP

By:

Adam J. Cermak Registration No. 40,391

U.S.P.T.O. Customer Number 39083 Cermak & Kenealy LLP 515 East Braddock Rd. Suite B Alexandria, Virginia 22314

703.778.6610

Date: September 26, 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE SEP 2 6 200

In re application of:

Aki HIRAMOTO

Application No.: 10/691,705

Filing Date: October 24, 2003

For: OPTICAL SEMICONDUCTOR DEVICE

Art Unit: 2811

Examiner: Sara W. CRANE

Attorney Ref. No.: ST3001-0031

# AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

### Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated March 25, 2005, which set a three-month shortened statutory period of response thereto which is extended to expire on September 26, 2005 by a Petition for Three (3) Month Extension of Time filed herewith, please amend the above-captioned patent application as follows.

Amendments to the Claims begin at page 2 of this paper.

Remarks begin at page 7 of this paper.